

## **File Processing Rejections**

## **Glossary of Terms**

- Informational: No Matching Case in IPP Typically, the CMIPPS/CMOPPS file
  does not have the necessary data for cases to qualify for measures OR the
  cases were not sent at all. This means no cases in the Base Demographics file
  received, share a CaseID and PatientID in the clinical file that has been
  imported. Please verify the base demographic file was submitted first. To
  eliminate these errors, only upload clinical files for cases in IPP.
- Informational: Abstracted Case Locked The worksheet is not updated. The staging table is updated. If changes/updates were needed for these records, they would have to be unlocked first before accepting changes through file processing. Check the Cases No Longer in Population Report for cases that no longer qualify for an IPP.
- Informational: Duplicate: Multiple Cases in the same upload For this type of rejection, one record from the duplicates gets accepted. Cleaning up duplicate cases helps reduce the number of rejections for this type during processing. No action is needed as the most recent record in the file gets accepted.
- Rejected: Case already exists with different Discharge Quarter If the case is locked and the import has a discharge quarter.
- <u>Invalid Data:</u> Invalid Data comes in all forms; it is best to run the specific report needed to view the file processing rejections. These reports can be run directly from the Encor-a application. \*Must be a registered user of the application to attain these reports.

• Common Invalid Data rejections: (in alphabetical order)



- Invalid: AdmitDateTime Inpatient Date Time type qualifier is missing or invalid format MM-DD-YYYY
- Invalid: AdmitDateTime prior to DateOfBirth AdmitDateTime prior to BirthDateTime
- Invalid: AdmitDiagnosis If blank or Null or Missing/Invalid Admit Diagnosis
- Invalid: ArrivalTime If Outpatient and Arrival Time is not a valid Time (format)
- Invalid: BirthDate Date of birth is missing or invalid format MM-DD-YYYY (date must be valid)
- o Invalid: BirthDateTime –Invalid Birth Date Time or invalid format
- Invalid: CmMedicareID CM\_Medicare\_ID field is blank or incorrect (not 6 characters long)
- Invalid: DateOfBirth prior to EncounterDate Date of Birth prior to Encounter Date
- o Invalid: Diagnosis Code Verify code is valid for current report quarter
- Invalid: DischargeDateTime Inpatient Date Time type qualifier is missing or invalid format MM-DD-YYYY
- Invalid: DischargeDateTime prior to AdmitDateTime Discharge date prior to Admit Date Time
- Invalid: DischargeDisposition Ensure value is valid and meets current specifications
- Invalid: EncounterDate Outpatient Date Time type qualifier is missing or invalid format MM-DD-YYYY
- o Invalid: Ethnicity Ethnicity not in Y or N format
- o Invalid: HospitalUnit length greater than 50
- Invalid: Invalid CptCode1 CPT code is not valid
- o Invalid: Invalid CptCode2 CPT code is not valid
- Invalid: Invalid CptCode3 CPT code is not valid
- Invalid: Invalid CptCode4 CPT code is not valid
- o Invalid: Invalid CptCode5 CPT code is not valid
- Invalid: Invalid EmCode E/M code is not valid
- Invalid: MedicalRecordNumber Patient Identifier is blank, null, invalid format
  - Unique identifier of the patient. (Note: The only allowed special characters are spaces, hyphens, dashes, and under-scores.)



- Invalid: Missing or Invalid PrimaryDiagnosis Invalid blank or null ICD-10-CM diagnosis code (Outpatient)
- Invalid: OtherCptDateTime Invalid time or format HH:MM or HHMM or UTD or NULL (Outpatient)
- Invalid: OtherDx Invalid blank or null ICD-10-CM diagnosis code (Outpatient)
  - Note: Errors located in the row/line below the outlined line number.
    - Example: if the error is identified in row/line 20, look for error in row/line 21.
- Invalid: OtherPX Invalid blank or null ICD-10-PCS procedure code
   Other Primary Diagnosis (verify no decimal point or dot) (Inpatient)
  - Note: Errors located in the row/line below the outlined line number.
    - Example: if the error is identified in row/line 20, look for error in row/line 21.
- Invalid: OtherProcedureDate Invalid date or format MM-DD-YYYY or UTD or NULL (Inpatient)
- Invalid: OtherProcedureTime Invalid time or format HH:MM or HHMM or UTD or NULL (Inpatient)
- Invalid: PatientId Patient Identifier is blank, null, any special character excluding underscore
  - Should be the unique identifier of the Outpatient encounter.
     Note: The only allowed special characters are spaces, hyphens, dashes, and under-scores.
- Invalid: PostalCode PostalCode is blank, null, or not in string.
  - 5- or 9-digit without hyphen, "HOMELESS," or "NON-US"
  - Use the hospital's postal code if unknown.
- Invalid: PrincipalProcedure Invalid ICD-10 Procedure Code (verify no decimal point or dot) (Inpatient)
- Invalid: PrincipalProcedureDate Invalid date or format MM-DD-YYYY or UTD or NULL (Inpatient)
- Invalid: PrincipalProcedureTime Invalid time or format HH:MM or HHMM or UTD or NULL (Inpatient)
- Invalid: Procedure Code Check if codes are valid/billable for encounters or discharge dates (Code should not contain decimal points or dots)



- o **Invalid: Race** 'Race not in '1', '2', '3', '4', '7' format
  - 1-White
  - 2-Black
  - 3-American Indian
  - 4- Asian or Pacific Islander
  - 7-UTD
- o Invalid: Sex Sex not in M F or U format
- Invalid: SourceOfPayment Not in '1' or '2' format
  - 1-Medicare
  - 2-Not Medicare

## **Common Clinical File Rejections:**

- Non-NPI value in ProviderID The ProviderID column is either blank or contains an invalid value.
- Invalid Data: File load contains disallowed characters Rejection due to a NUL byte found within the file.
  - 14565 NUL
- Rejected: Event restraint/seclusion times cannot be overwritten The seclusion time is overwriting the restrain time. Note: The restraint time takes precedence over the seclusion time.
- Rejected: Combined event restrain/seclusion time on the same day cannot be greater than 1 day - Events are reported by date of Event, any Event that crosses midnight must be presented as TWO separate events (one for each day).
- Rejected: Event time overwrites existing events(s) -The event time overlaps into the same time as another event for that case.



## Rejection rules for dates and times regarding UTD values within HBIPS\_EVT Unlimited:

Start Date	Start Times	Minutes	Action	Note
				Record contains start
				date and minutes but
Valid	UTD or null	Valid	REJECT	no Start time
				Record contains start
				date and start times
				but unable to
				determine minutes
Valid	Valid	UTD	ACCEPT	(UTD is a valid value)
				No start time or
				minutes, nothing to
Valid	UTD or null	UTD or null	ACCEPT	compare it to
				Only contains the start
UTD or NULL	Any Value	Any Value	REJECT	date